

# ET Summit 2024

Presented by



# Optimizing Heat Pump Load Flexibility for Cost, Comfort, and Carbon Emissions

Caton Mande

Senior R&D Engineer

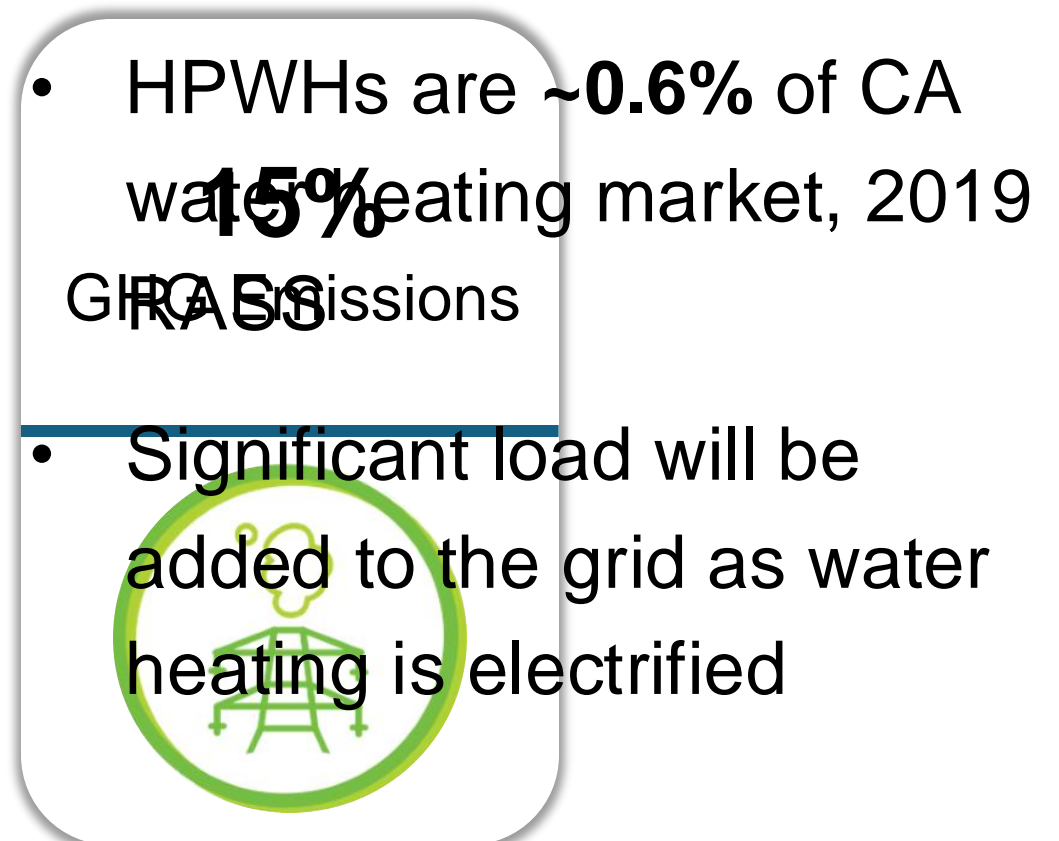
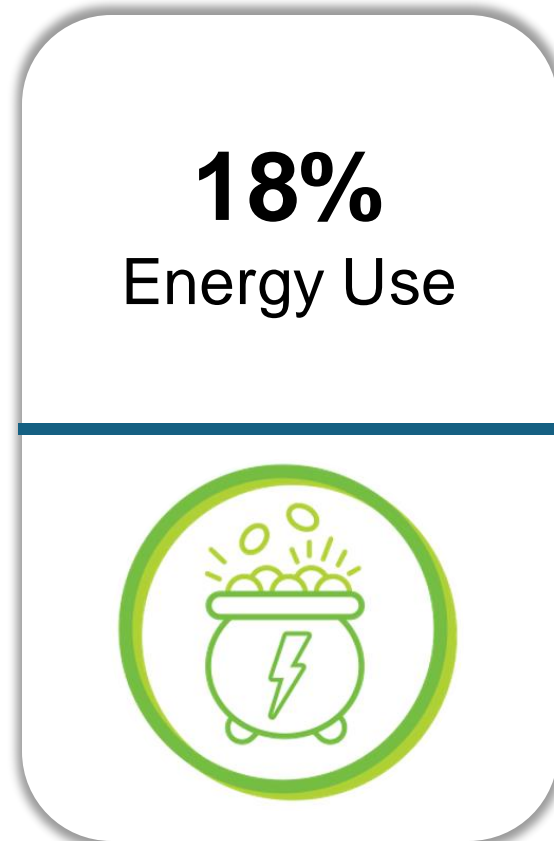
UC Davis Western Cooling Efficiency Center

# Outline

- Background, demand flexibility and water heating
- Our solution:
  - Open-source cloud-based supervisory economic model predictive control (MPC) framework
  - Co-optimization of utility bill cost and GHG emissions
- Lab testing results
  - Cost can be reduced
  - Peak runtime was reduced
- Field testing status
- Acknowledgements

# Background: Residential Water Heating

Nationally      California Specific





# Background

- Electricity demand (kW) fluctuates throughout the day, with certain periods experiencing higher levels than others.

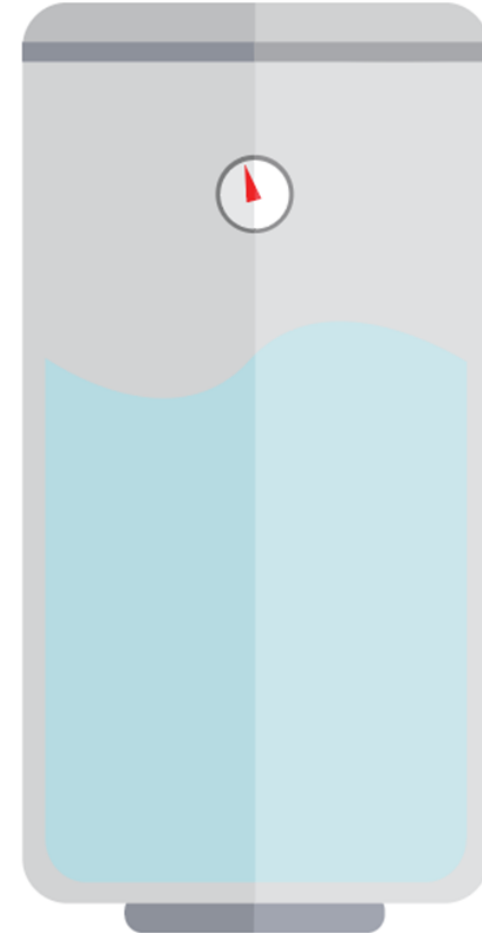


*Demand flexibility* is one tool that can shimmy, shed, shift or shape load profiles to help match demand with available supply.



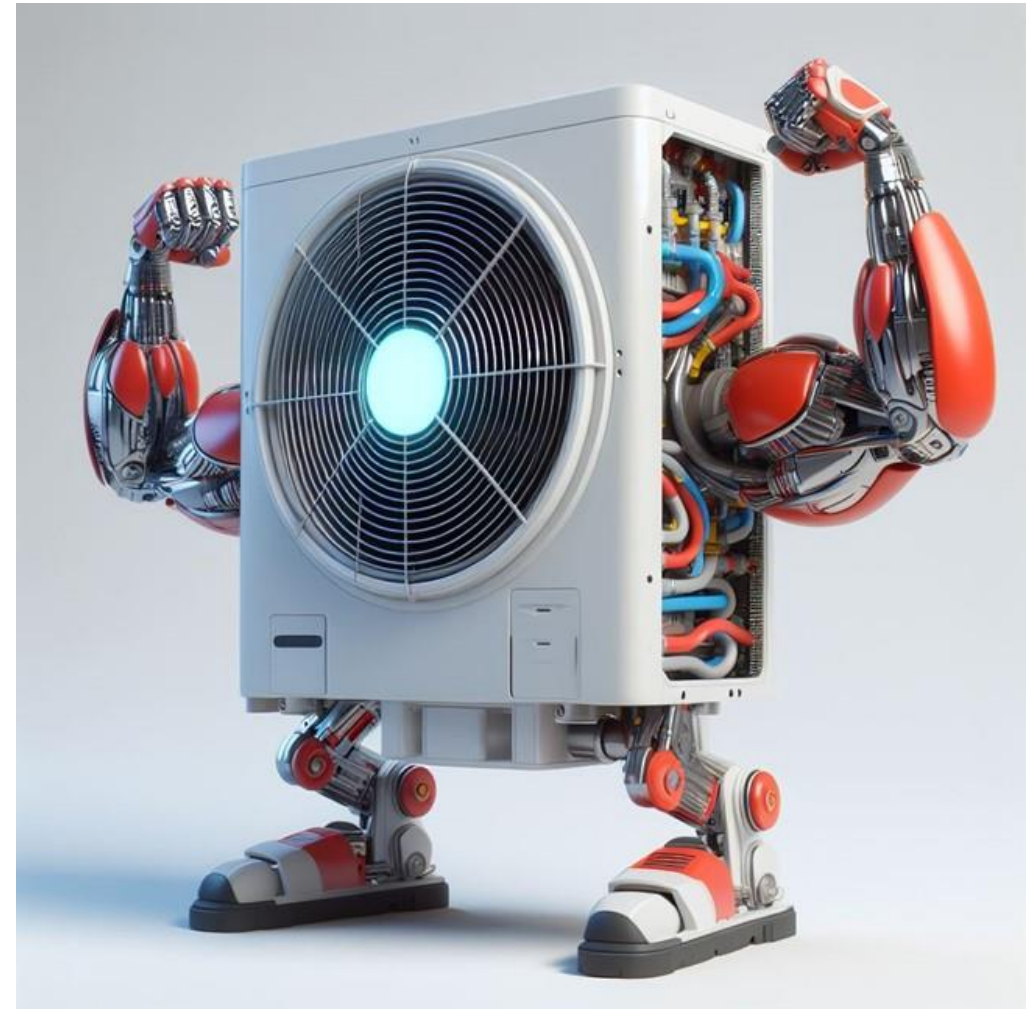
# Dynamics and Comfort

- Ability to provide demand flexibility via shimmy, shed, shift, and shape depends on:
  - Equipment dynamics
  - Size and availability of storage
  - Control system
  - End-user behavior and comfort requirements



# WCEC's Work

1. Develop an open-source cloud-based supervisory economic model predictive control (MPC) framework
2. Formulate co-optimization of utility bill cost and GHG emissions
3. For residential unitary HPWHs, compare demand flexibility potential (cost and carbon) using:
  - MPC and OEM rule-based control
  - OEM rule-based control only





# Restaurant Analogy: MPC vs Rule-based

## Rule-based control: Reactive No Reservation

- No planning required
- Wait until hungry and then pick a place to eat
- Might be sat immediately, but depends on uncontrolled factors
- Ability to wait for a table depends on hunger level and remaining plans

## MPC: Proactive Reservation

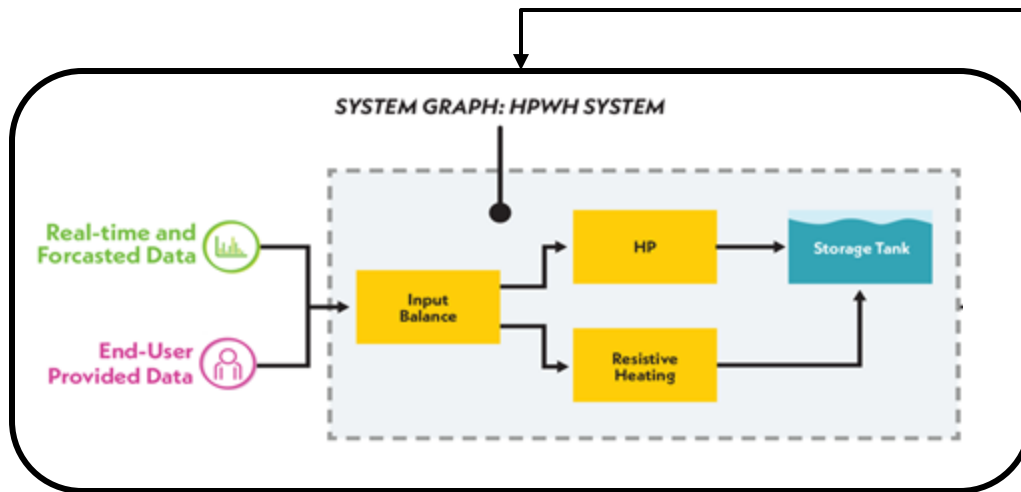
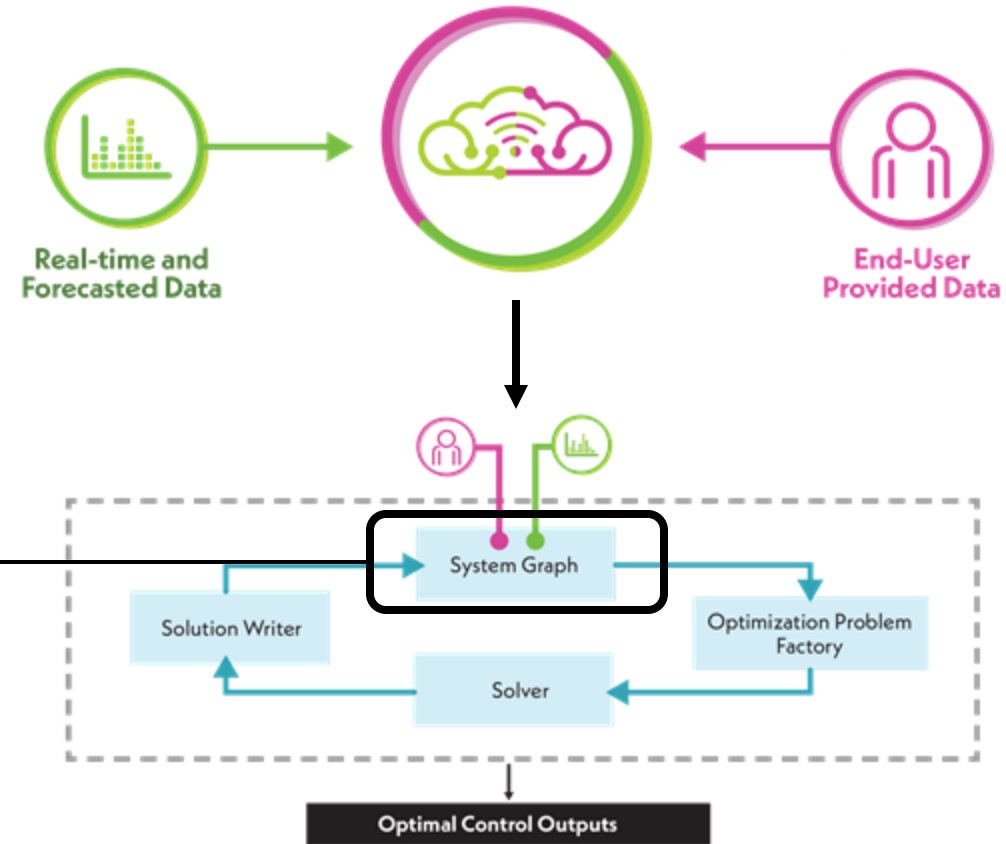
- Requires prior knowledge
- Planning required, might include inputs like travel time, time to find parking, etc.
- Must contact restaurant
- Enables interactive communication and informed decision making
  - Restaurant could offer free appetizer if outside seating is chosen
  - Customer can ask for a different time



# Control Architecture

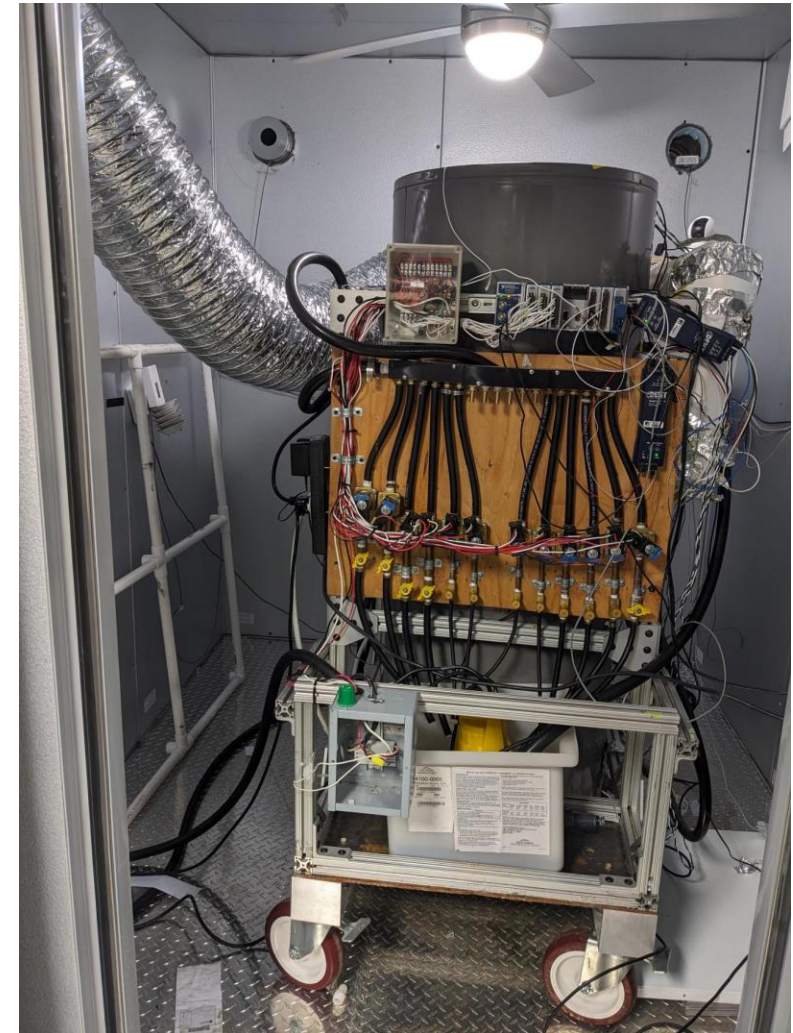
- Two-part cloud-based framework
- Data layer for collecting, storing, and sending data
- MPC for calculating setpoints, modular, directed graph approach
- Cost function, optimizes based on:
  - Energy Cost, time-of-use or “hourly”
  - Marginal Green House Gas Emissions (WattTime)
  - Comfort (soft penalty)
- Used for lab and field testing

## Data Layer Framework



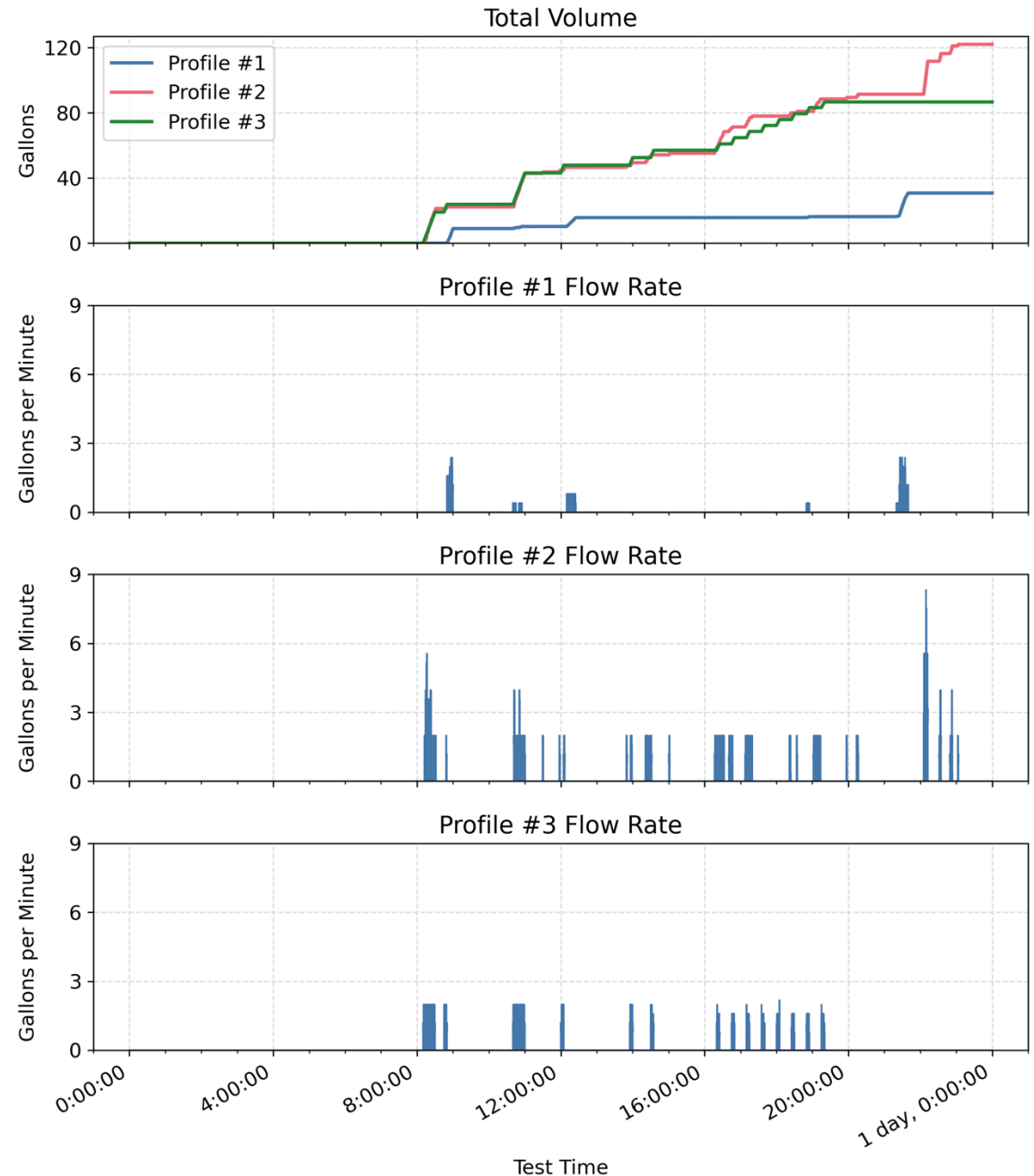
# Test Process

1. Initialization – partially drain and recharge tank
  - Ensures consistent initial conditions
2. Synchronize – Tell data layer start and end time
3. Run – Follow flow profile
4. Baseline test Rule Based Control (RBC)



# Water Flow Rate Profiles

- 24-hour long profiles
  - 1 & 2 are field data
  - 3, was generated from 2 to capture max load shift
- 1. Low draw with small load shifting potential
- 2. Highest draw (so far) with high load shifting potential
- 3. Modification of #2, removed draws after 10pm and re-distributed the flow during peak

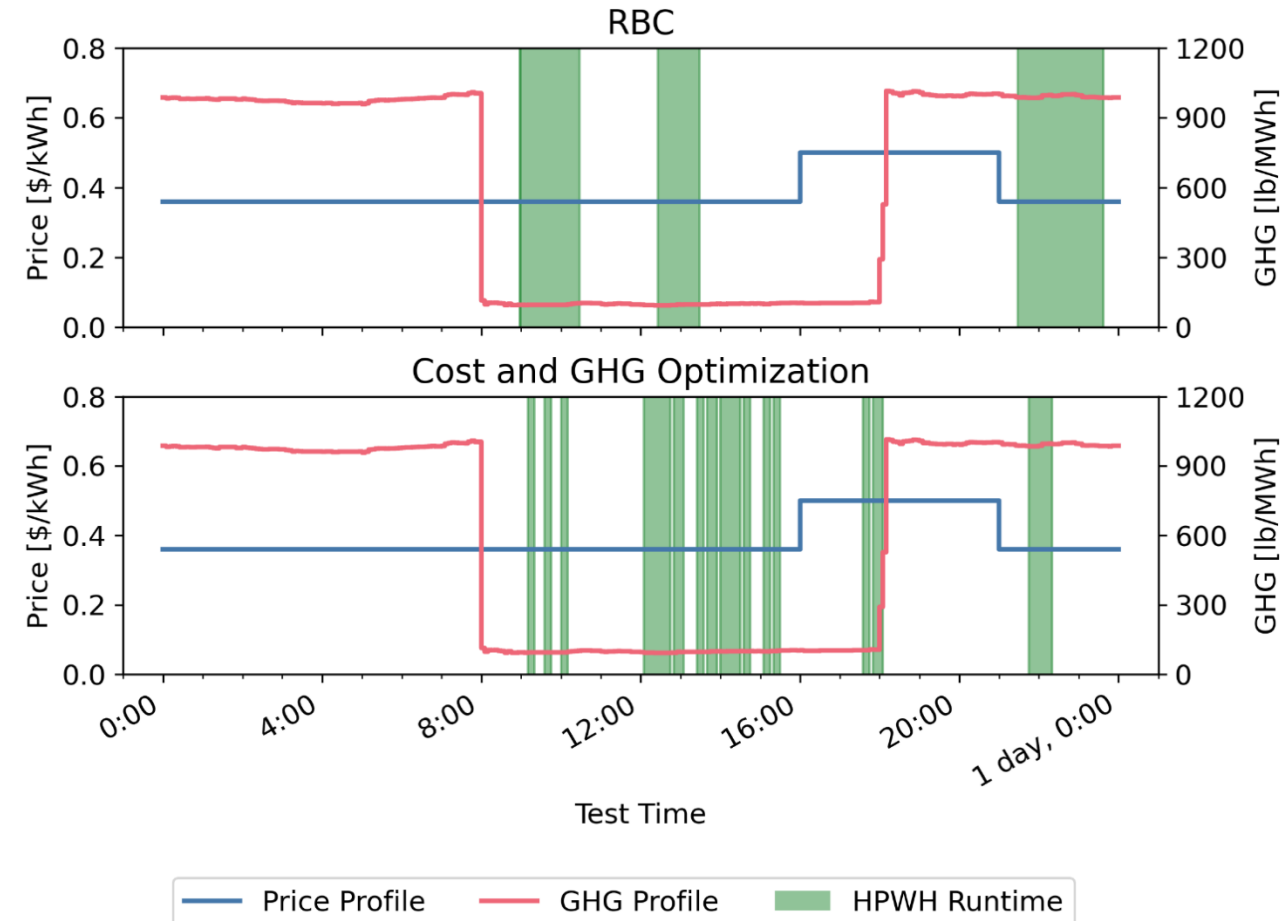


# Profile #1: Low draw, small load shift

- Total of 31-gallons
- “Best-case” baseline, no runtime in 4-9pm peak
- Mixing valve outlet temperature (MVOT) range satisfied comfort for all tests

**Results:**

	RBC Baseline	MPC cost and GHG
Cost [\$]	0.557	0.481 (-14%)
CO2 [lb]	0.771	0.301 (-61%)
Peak Price Runtime [min]	0	25
Peak GHG Runtime [min]	129	35 (-73%)
Mean MVOT [F]	117.2	117.2
Min MVOT [F]	115.3	115.3
Max MVOT [F]	119.4	119.4

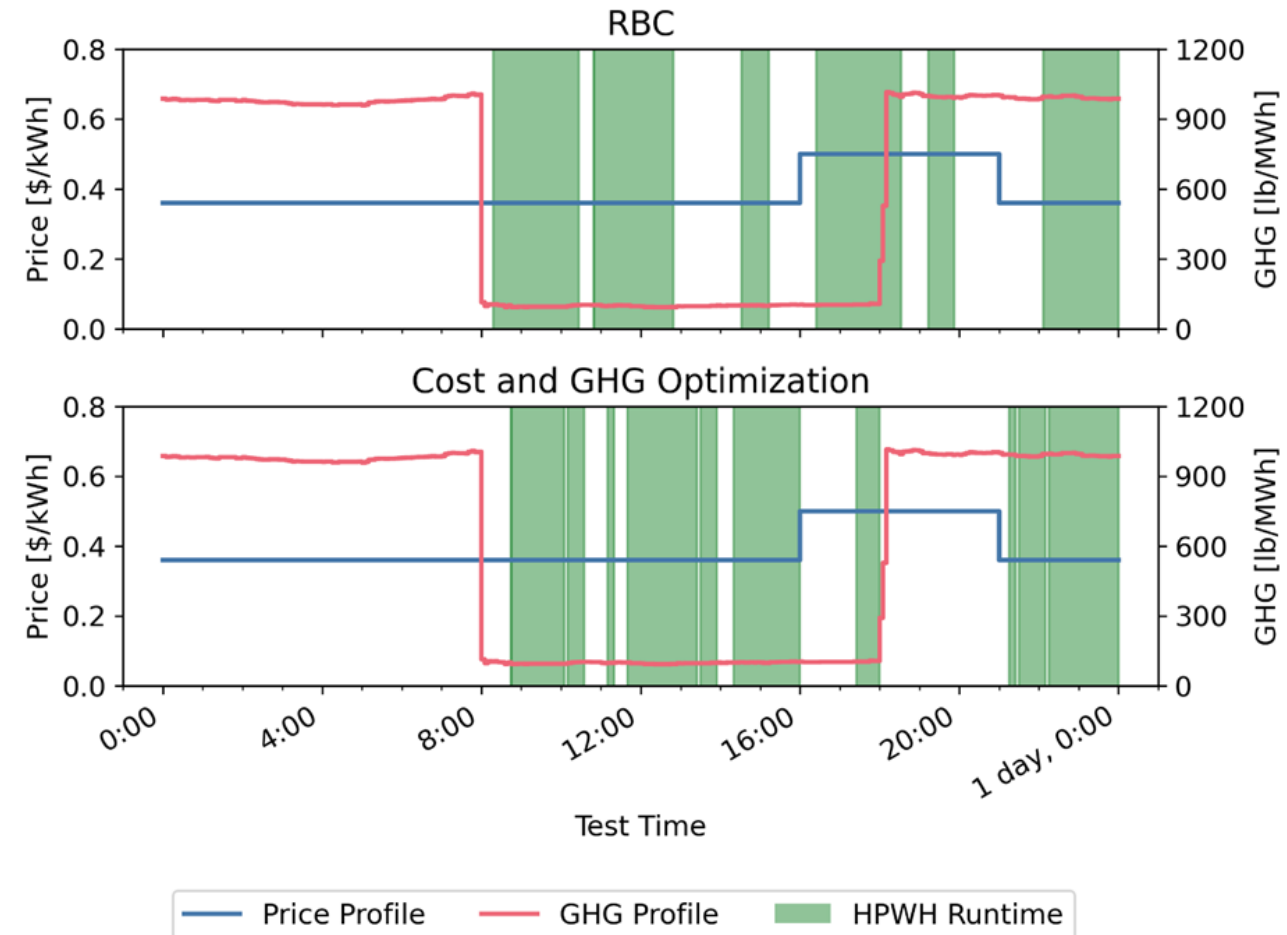


# Profile #2: High draw, high load shift

- Total of 121-gallons
- 2 hr 47 min of baseline runtime in 4-9pm peak
- Mixing valve outlet temperature (MVOT) range satisfied comfort for all tests

**Results:**

	RBC Baseline	MPC cost and GHG
Cost [\$]	1.266	1.075 (-15%)
CO2 [lb]	1.175	0.999(-15%)
Peak Price Runtime [min]	167	35 (-79%)
Peak GHG Runtime [min]	175	155 (-11%)
Mean MVOT [F]	119.9	122.4
Min MVOT [F]	115.4	121.1
Max MVOT [F]	122.2	123.9



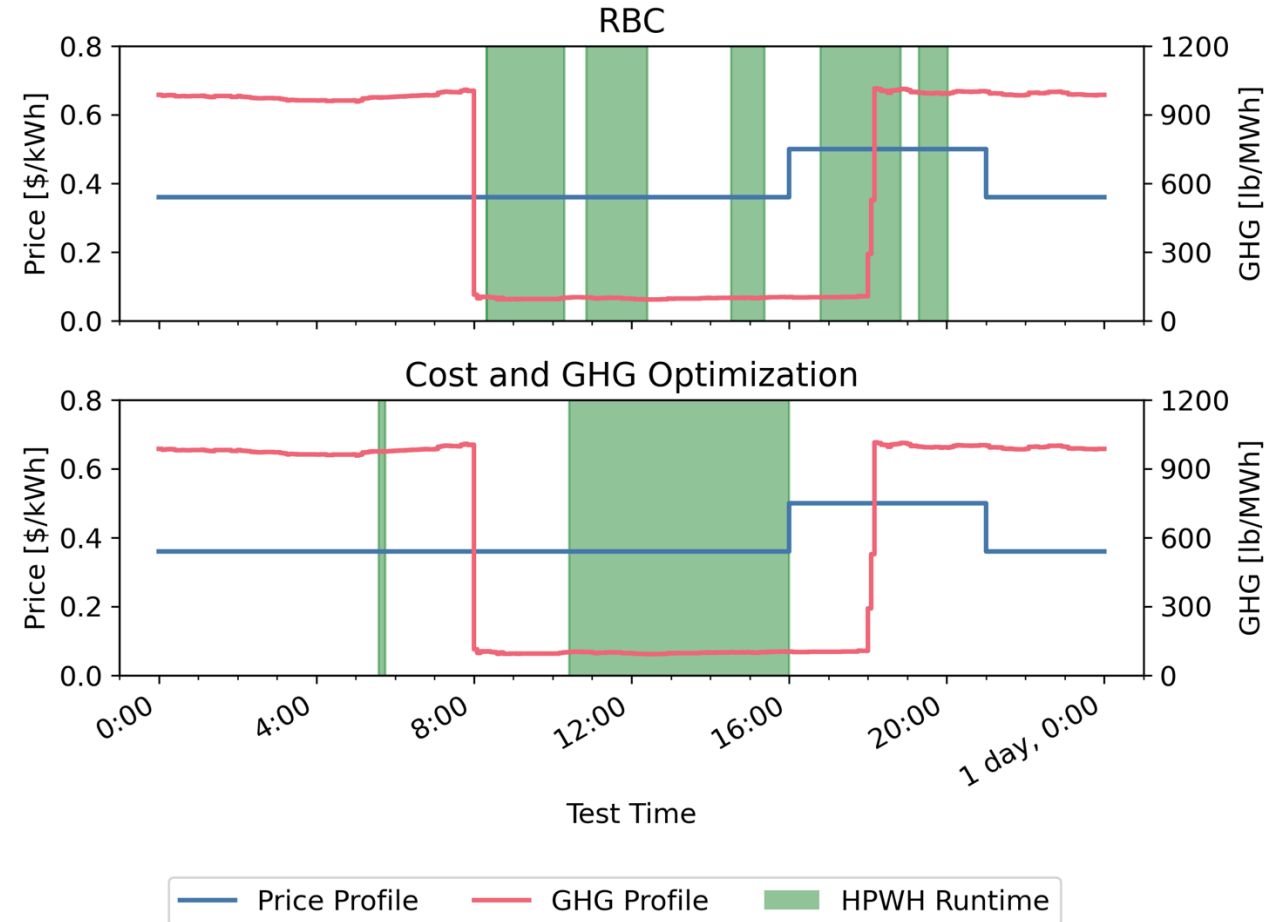


# Profile #3: High-ish draw, highest load shift

- Total of 82-gallons
- Modified profile #2 so tank could remain depleted after peak
- Mixing valve outlet temperature (MVOT) range satisfied comfort for all tests

**Results:**

	RBC Baseline	MPC cost and GHG
Cost [\$]	1.027	0.714 (-30%)
CO2 [lb]	0.708	0.246 (-65%)
Peak Price Runtime [min]	166	0
Peak GHG Runtime [min]	84	10
Mean MVOT [F]	122.3	121.9
Min MVOT [F]	114.8	114.8
Max MVOT [F]	123.1	125.2



## Field Demonstration



Mutual Housing – Spring Lake  
Woodland, CA  
Climate Zone 12



RCD Housing - Quetzal Gardens  
San Jose, CA  
Climate Zone 4

**Field testing with 24 HPWHs controlled with MPC officially started September 3<sup>rd</sup>, 2024.**

# Acknowledgements

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## Contact:

### California Energy Commission:

- Dustin Davis ([dustin.l.davis@energy.ca.gov](mailto:dustin.l.davis@energy.ca.gov))
- Final report expected Summer of 2025

### UC Davis:

- Caton Mande
- [cwmande@ucdavis.edu](mailto:cwmande@ucdavis.edu)